

# Data Sheet

## PaperClip Auditing

PaperClip Auditing is a true auditing subsystem meeting the needs of every organization achieving the paperless process. Many regulations and recent laws have set the requirements for auditing electronic documents. Protecting information as required by such regulations as Sarbanes Oxley, HIPAA, GLB, and others, "Chain of Custody" is the common foundation.

PaperClip Auditing (PCA) is designed to meet the various requirements set forth by regulations. Starting with its core design, PaperClip Auditing provides use of its own database, administration and security. This deployment satisfies the requirement needed for independent disinterested third party audit such as the Security & Exchange Commission Rule 17a-4 for paperless processing.

PaperClip32 customers can install PCA and follow the life of a document from cradle to grave documenting retention and destruction policies and procedures. External and internal auditors can quickly focus audits on documents, folders, users and more. Audit Reports can be customized and outputted to paper or various electronic formats.

Modified	Description	User	Owner	Computer	Operation	Document Type	# Pages	
4/19/2005 3:03:48 PM		MANAGER	Public	MANAGER	Modify	Appraisal Money	1	
4/19/2005 3:03:37 PM		MANAGER	Public	MANAGER	Add	Appraisal Money	1	
4/19/2005 2:56:05 PM		Joe	MANAGER	Public	MANAGER	Modify	Employment Verification - VDE	1
4/19/2005 2:56:02 PM		Joe	MANAGER	Public	MANAGER	View	Employment Verification - VDE	1
4/19/2005 2:55:40 PM		Joe	MANAGER	Public	MANAGER	Add	Employment Verification - VDE	1
4/19/2005 2:55:34 PM		MANAGER	Public	MANAGER	Modify	1003 Closing signed	3	
4/19/2005 2:55:34 PM		MANAGER	Public	MANAGER	Modify	Title Commitment	2	
4/19/2005 2:46:02 PM		MANAGER	Public	MANAGER	Add	1003 Closing signed	3	
4/19/2005 2:46:02 PM		MANAGER	Public	MANAGER	Modify	1003 Closing signed	3	
4/19/2005 2:46:02 PM		MANAGER	Public	MANAGER	Modify	1003 Closing signed	3	
4/19/2005 2:43:44 PM		MANAGER	Public	MANAGER	Add	VA Assumption Advice	3	
4/19/2005 2:41:18 PM		MANAGER	Public	MANAGER	Add	Title Commitment	2	
4/19/2005 2:41:48 PM		MANAGER	Public	MANAGER	Add	TIL	1	
4/19/2005 2:36:16 PM		MANAGER	Public	MANAGER	View	LOX letter of explanation	5	
4/19/2005 2:36:02 PM		MANAGER	Public	MANAGER	View	Income Verification - Other	1	
4/13/2005 1:00:16 PM		MANAGER	Public	MANAGER	Modify	Income Verification - Other	1	
4/13/2005 12:59:53 PM		MANAGER	Public	MANAGER	Add	Income Verification - Other	1	
4/13/2005 12:56:01 PM		MANAGER	Public	MANAGER	Modify	W2 Borrower	1	
4/13/2005 12:55:05 PM		MANAGER	Public	MANAGER	View	W2 Borrower	1	
4/13/2005 12:54:56 PM		MANAGER	Public	MANAGER	Modify	GFE signed at closing	1	
4/13/2005 12:54:17 PM		MANAGER	Public	MANAGER	Modify	GFE signed at closing	1	

### PaperClip Auditing Feature & Benefits:

- Supports separate database platform securing independent auditing
- User interface provides flexible options defining the query or audit
- Collects all changes to documents and metadata (e.g. index changes – before and after)
- Exposes user and internal key information for originality



**PaperClip Software, Inc.**

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Integrated Products:

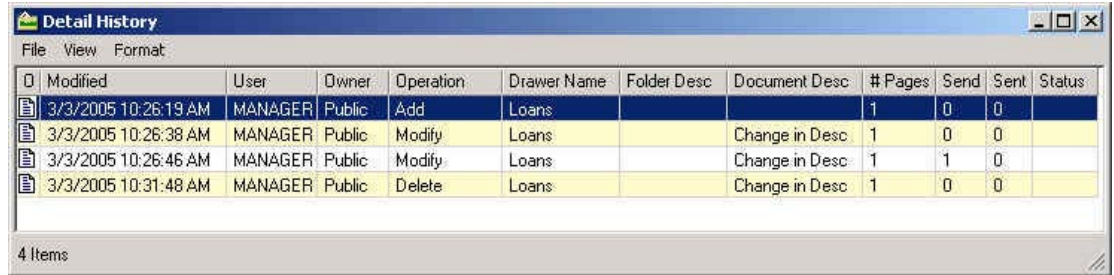
PaperClip32

# Data Sheet

# PaperClip Auditing

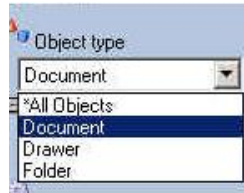
## Detail History tracking changes to documents:

1. Document Created by Manager
2. Document Description added
3. Document Send value changed to True
4. Document deleted by Manager

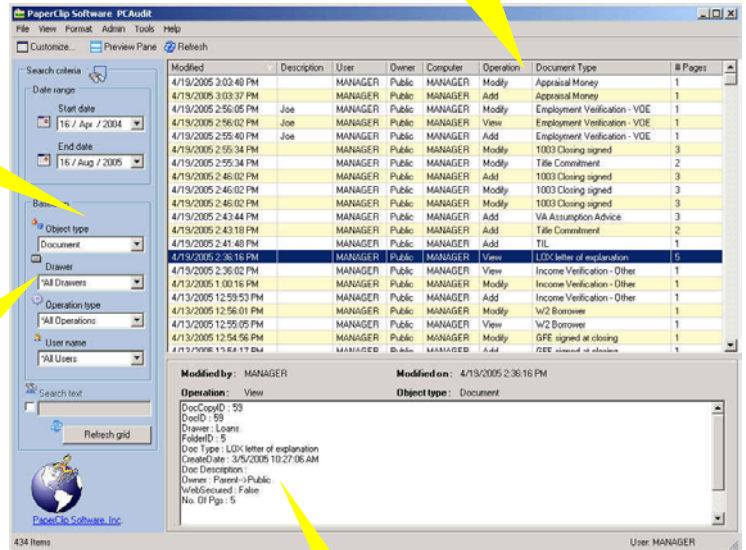
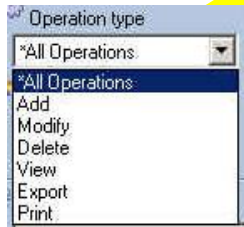


Modified	User	Owner	Operation	Drawer Name	Folder Desc	Document Desc	# Pages	Send	Sent	Status
3/3/2005 10:26:19 AM	MANAGER	Public	Add	Loans			1	0	0	
3/3/2005 10:26:38 AM	MANAGER	Public	Modify	Loans		Change in Desc	1	0	0	
3/3/2005 10:26:46 AM	MANAGER	Public	Modify	Loans		Change in Desc	1	1	0	
3/3/2005 10:31:48 AM	MANAGER	Public	Delete	Loans		Change in Desc	1	0	0	

## Focus audits on Documents, Drawer or Folders



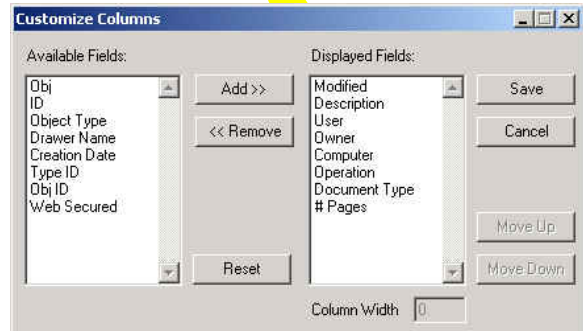
## Select the operations of interest



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4/13/2005 12:54:56 PM		MANAGER	Public	MANAGER	Modify	GFE signed at closing	1	
4/13/2005 12:54:17 PM		MANAGER	Public	MANAGER	Add	GFE signed at closing	1	

## Customize Metadata Output to:

- Printer
- Test File
- CSV Format



Integrated Products:

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